

COMPARATIVE ANALYSIS ON POLITICAL PARTY AND CAMPAIGN FINANCING**APPENDIX No. 1****Matrix for collection of information on normative frameworks**

NAME OF COUNTRY AND NATIONAL RESEARCHER TRINIDAD AND TOBAGO SELWYN RYAN	
I. NATURE OF FINANCING REGIMENS (PUBLIC, PRIVATE AND MIXED)	
A. GOVERNMENT DIRECT FUNDING	
1. Conditions for eligibility:	N/A
2. Limits / amounts:	
3. Fund distribution:	
a. To parties:	
✓ Routine operation	
✓ Electoral campaign	
✓ Research / Capacity building	
✓ Mixed	
b. To candidates:	
✓ Presidency	
✓ Parliament	
c. To party caucus or individual members of parliament/congress	
d. Other organizations:	
4. Election funding:	
a. Internal party elections	
b. Presidential elections	
c. Parliamentary elections	
d. Local municipal elections	
5. Time of disbursement:	

a. Before campaign	
b. During campaign	
c. After campaign	
d. Combined	
6. Criteria for distribution:	
a. Equitable (in equal parts)	
b. Proportional to the electoral strength	
c. Parliamentary representation	
d. Mixed methods:	
✓ Equitable and electoral strength	
✓ Electoral strength and parliamentary representation	
✓ Other	
B. INDIRECT GOVERNMENT FUNDING	
1. Objects of financing	N/A
a. Transportation	
b. Publication (printing, mailing and posting)	
c. Exemptions	
d. Grants	
e. Tax benefits	
f. Loans	
g. get-out-the vote campaigns	
h. Political broadcasting	
i. Training	
j. Other	
C. NON-GOVERNMENTAL FUNDING: CONTRIBUTIONS RESTRICTIONS	
1. Contribution limits:	TT\$5000 in total can be received by candidate / agent
2. Prohibitions:	X

a. Individuals / Legal entities	√
b. Foreign donors	X
c. Unions	√
d. Associations / Corporations	√
e. Government contractors	X
f. Anonymous	√
g. Other	
D. LIMITATIONS ON EXPENDITURES	
1. Political parties:	X
a. Amount	X
2. Candidates:	√
a. Presidency:	N/A
✓ Amount	N/A
✓ Are election candidates centered?	N/A
b. Parliament:	√
✓ Amount	TT \$50, 000 (US \$8, 000)
E. ESTIMATED COSTS OF POLITICAL FINANCING	
1. Previous elections:	TT \$6m (US \$1m) (1995) TT \$24m (US\$4.0m) (2000)
a. Presidency	N/A
b. Parliament	
2. Cost by actors	TT \$3m
a. Political parties	TT \$ 2m
a. Candidates	TT \$1m
b. Electoral authority	TT \$15
3. Funding sources: Amounts / Percentages	
a. Public financing	X
b. Private financing	√
✓ List principal donors	
c. Resources from political party budgets	√
d. Other	
4. Allocation: greater costs on the campaign	

a. Advertisement (television, radio, press, others)	√
b. Staff Salaries	√
c. Transportation	
d. Vote buying?	
e. Other	

II. ACCESS TO THE MEDIA	
A. FREE POLITICAL BROADCASTING	
1. Electoral time slots:	
a. Obligatory:	X
✓ State-run media (TV, radio and press)	X
✓ Private media (TV, radio and press)	X
b. Voluntary:	√
✓ Private media (TV, radio and press)	
2. Type time slots:	
a. Unique (only free political broadcasting)	X
b. Principal (paid political broadcasting does not exceed the electoral time slots)	N/A
c. Complementary (paid political broadcasting exceeds the electoral time slots)	N/A
3. Time slots in non-electoral periods	N/A
4. Cost of time slots	
a. Completely free	X
b. Reduced fee or State sponsored	X
5. Access to time slots:	N/A
a. Per previous election results:	N/A

✓ Number of votes gained	N/A
✓ Positions gained	N/A
✓ Criteria for new political organizations	N/A
b. Combined criteria	
c. Completely free	
6. Production cost (time slots)	N/A
a. Paid by the State	N/A
b. Non-paid	N/A
7. Time granted:	N/A
a. As a whole	N/A
b. To parties and electoral alliances	N/A
c. To candidates	N/A
8. Organizations granting the time slots	N/A
9. Organizations monitoring the time slots	N/A
10. Sanctions	N/A
B. CONTRACTING OF TIME SLOTS	
1. Advertising paid by parties, candidates, etc:	
a. In addition to paid time slots:	
✓ Limits	X
b. Resulting from lack of time slots:	
✓ Limits	
✓ Unlimited	X
c. Rules and fees for paid advertising	X
d. Controller agencies	
e. Radio adds/Publicity spots: Cost per minute/second.	Varied
2. Indirect advertising:	

a. Indirect information √ √ √ (positive, negative, neutral)	
b. Journalistic programs	X
c. Other	
3. Debate regulations:	X
4. Pre-election polls:	
a. Limits	X
b. Rules guaranteeing technical quality of data	X
5. Regulation for Exit Polls	X
6. Specific dispositions for mass media:	
a. Television	X
b. Cable	X
c. Press	X
d. Satellite TV	X
e. Internet	X

III. PUBLIC DISCLOSURE			
1. Who discloses?	<input type="checkbox"/> Political parties <input type="checkbox"/> Pongos or donors (a Pongo is a political NGO for fundraising purposes)	<input type="checkbox"/> √ Candidates <input type="checkbox"/> Others (Please specify)	<input type="checkbox"/> Donors
2. What is disclosed?			
a. Parties:			
✓ Cash Contributions	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
✓ In-kind contributions (materials and equipment, including loans)	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
✓ Expenditures	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc.	<input type="checkbox"/> Aggregated or not categorized	
✓ Names of donors	<input type="checkbox"/> Itemized listing	<input type="checkbox"/> √ No donor names listed with contribution amounts	
✓ Addresses of donors	<input type="checkbox"/> Full address	<input type="checkbox"/> Partial address	<input type="checkbox"/> √ No address required

<input checked="" type="checkbox"/> Names of vendors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> <input checked="" type="checkbox"/> No names of vendors listed with expenditures amounts	
<input checked="" type="checkbox"/> Personal assets	<input type="checkbox"/> Required to be filed	<input type="checkbox"/> <input checked="" type="checkbox"/> Not required to be filed	
b. Candidates:			
<input checked="" type="checkbox"/> Cash Contributions	<input type="checkbox"/> <input checked="" type="checkbox"/> Itemized	<input type="checkbox"/> <input checked="" type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-kind contributions (materials and equipment, including loans)	<input type="checkbox"/> <input checked="" type="checkbox"/> Itemized: Bills, vouchers, receipts	<input type="checkbox"/> <input checked="" type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures	<input type="checkbox"/> <input checked="" type="checkbox"/> Listed by categories or itemized, e.g. media, etc.	<input type="checkbox"/> Aggregated or not categorized	
<input checked="" type="checkbox"/> Names of donors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> No names of donors listed with contribution amounts	
<input checked="" type="checkbox"/> Addresses of donors	<input type="checkbox"/> Full address	<input type="checkbox"/> Partial address	<input type="checkbox"/> No address required
<input checked="" type="checkbox"/> Names of vendors	<input type="checkbox"/> <input checked="" type="checkbox"/> Itemized listing names	<input type="checkbox"/> <input checked="" type="checkbox"/> No names of vendors listed with expenditures amounts	
<input checked="" type="checkbox"/> Personal assets	<input type="checkbox"/> Required to be filled	<input type="checkbox"/> Not required to be filled	
3. Do party and candidate expenditure reports record campaign and operational expenses separately?	<input type="checkbox"/> <input checked="" type="checkbox"/> Yes Explain: <u>Parties not required to report</u> _____ _____ _____ _____	<input type="checkbox"/> No Explain: _____ _____ _____ _____	
4. Are reporting requirements in this country temporary or permanent, i.e. are they only in effect during an election cycle, or year-round before and after an election?	<input type="checkbox"/> <input checked="" type="checkbox"/> Temporary during elections Explain: <u>Only required after elections</u> _____ _____ _____ _____	<input type="checkbox"/> Permanent Explain: _____ _____ _____ _____	
5. What kind of monies can the party or candidate legally receive?	<input type="checkbox"/> <input checked="" type="checkbox"/> Private funds <input type="checkbox"/> Foreign company funds	<input type="checkbox"/> <input checked="" type="checkbox"/> Corporate funds <input type="checkbox"/> Foreign national funds	<input type="checkbox"/> <input checked="" type="checkbox"/> Union funds <input type="checkbox"/> Expatriate nationals' funds living overseas

6. What sources of illicit funds for parties and candidates are suspected?	<input type="checkbox"/> <input checked="" type="checkbox"/> Illegal funds given over the limit but not declared by corporations, unions, or persons	<input type="checkbox"/> Organized crime <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Drug trafficking funds <input type="checkbox"/> Laundered funds	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Foreign influence funds <input type="checkbox"/> Others <input type="checkbox"/> No illegal funds are suspected
7. What is the name of the body receiving the financial disclosure reports?	<input type="checkbox"/> This is a governmental body created by statute	<input type="checkbox"/> This is a private body created and funded by government	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> This body is constitutionally created
a. Name:	Election and Boundaries Commission		
b. Phone No:			
8. Are there contribution thresholds? (Donations below a certain amount don't have to be reported)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, what is the amount of the threshold: \$_____	Explain:	
9. When are the disclosure reports due from politicians or parties?	<input type="checkbox"/> Before the election - How many weeks before the election? _____ weeks. - How many reports are required? _____ reports.	<input type="checkbox"/> After the election. - How many weeks after the election? <u>3</u> weeks.	
10. How are the reports transmitted to the public?	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Fax <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Photocopy <input type="checkbox"/> Internet	<input type="checkbox"/> Hand copying (copying by hand) <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Gazette or published in local newspapers or bulletins.	<input type="checkbox"/> Other. Please specify.
11. Rate how easy or how difficult it is to access the public reports? (Difficulty of accessibility)	<input type="checkbox"/> High	<input type="checkbox"/> Medium	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Low
12. How much time elapses between the receipt and dissemination of the report by the election commission?	Fill in the blanks: <input type="checkbox"/> Weeks: <input checked="" type="checkbox"/> <input type="checkbox"/> Months _____ <input type="checkbox"/> Years _____		<input type="checkbox"/> Explain:
13. Quality of			

report criteria:			
a. Are reports itemized or aggregate figures reported?			
<input checked="" type="checkbox"/> Cash contributions	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-Kind Contributions (materials and equipment, including loans)	<input type="checkbox"/> <input checked="" type="checkbox"/> Itemized	<input type="checkbox"/> <input checked="" type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc (bills, receipts, vouchers)	<input type="checkbox"/> Aggregated or not categorized	
<input checked="" type="checkbox"/> Names of donors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> <input checked="" type="checkbox"/> No names of donors listed with contribution amounts	
b. Names of donors	<input type="checkbox"/> X Full names of donors required?	<input type="checkbox"/> X Full mailing address of donor required?	<input type="checkbox"/> X Is donor's name listed on the report by specific amounts of money contributed?
c. Names of vendors	<input type="checkbox"/> X Full names of vendors required?	<input type="checkbox"/> X Full mailing address of vendor required?	<input type="checkbox"/> X Is vendor's name listed on the report by specific amounts of money paid?
d. Categories of expenses	<input type="checkbox"/> <input checked="" type="checkbox"/> Are vendor products or services categorized on the disclosure report? (e.g. media expense, transportation, labor, meals, etc.?)	<input type="checkbox"/> <input checked="" type="checkbox"/> Are vendor purchases listed in aggregate or itemized on expenditure reports?	
14. Quality of enforcement criteria:			
a. What is the name of the body that enforces the disclosure reporting laws?	<input type="checkbox"/> <input checked="" type="checkbox"/> Same as electoral commission or body that receives the disclosure reports? <input type="checkbox"/> Different body than the one receiving the disclosure reports? Name of body. <input type="checkbox"/> Is the enforcement body a tribunal or special court?	Explain:	

✓ What are the powers of the enforcement body?	<input type="checkbox"/> ✓ Passive: - Receive the report with little investigation	<input type="checkbox"/> Active: - Does it audit reports and conducts investigations? - ✓ Have sanctions and fines offenders? - ✓ Criminal penalties also possible?
✓ Strength and weakness of the enforcement bodies	<input type="checkbox"/> Strengths:	<input type="checkbox"/> Weaknesses:
✓ What's the reputation of the enforcement body? Check all apply.	<input type="checkbox"/> Strict <input type="checkbox"/> Not Strict <input type="checkbox"/> Politically bold and doesn't play favorites	<input type="checkbox"/> Politically timid and bends with the power <input type="checkbox"/> ✓ Respected <input type="checkbox"/> Not too respected
15. Reality check / actual practices criteria:		
a. Looking over the above answers, how would you compare the disclosure laws on the books in this nation with the actual practice of disclosure?	<input type="checkbox"/> Distant relationship between the laws on the books and practice of disclosure	<input type="checkbox"/> Reasonable degree of fit between books and practices.
b. Using the Disclosure Ranking in Column 8 of the Latin America Disclosure Table listed below (produced by USAID for the OAS countries) how do your findings correspond with the table?	<input type="checkbox"/> Excellent degree of fit between laws and practices	

IV. ENFORCEMENT

A. CONTROLLER AGENCIES

1. Nature of regulatory agencies:	
a. Electoral management bodies	Election and Boundaries Commission
b. Judges with electoral jurisdiction	If a complaint is lodged state court can be activated.
c. Auditing bodies	
d. Combined	
2. Election /	

Composition of controller agencies:	
3. Functions / Responsibilities:	
4. Autonomies / Dependencies:	
5. Institutional capacity:	
a. Financial resources	
c. Human resources	
d. Technical capacity	
6. Internal party controlling mechanisms:	
B. SANCTION REGIMENS	
1. Mechanisms:	
a. Permanent and systematic oversight	
b. Complaint-based system	
c. Random auditing	
d. Alternative mechanisms (compliance agreements, etc.)	
e. Combined	
2. Sanction regimens:	
a. Financial penalties:	
✓ Political parties	
✓ Candidates	
✓ Donors	
b. Legal sanctions:	
✓ Political parties	
✓ Candidates	
✓ Donors	
c. Administrative sanctions:	None
d. Other sanctions:	Fines, imprisonment
3. Provide examples of sanctions already applied:	No case recalled where sanctions applied

C. CULTURE OF COMPLIANCE AND PUBLIC OVERSIGHT	
a. Incentives for voluntary compliance:	
✓ Training of campaign workers	
✓ Technical assistance	
✓ Provision of material support	
✓ Subsidies for auditing services	
✓ Public education	
b. Public oversight of resources:	
✓ Names of participating civil society organizations	
✓ Initiatives of citizens	
✓ Other	
c. Cases of corruption? If yes, provide examples:	Candidates and Parties routinely over spend

V. INFORMATION ABOUT PARLIAMENTS	
1. Total cost to Parliament (Figures from general national budget and Parliament budget)	TT\$27,794.984.00 Recurrent TT\$10,080.000.00
2. Who is the parliamentary budget administrator?	Clerk of the House
3. Cost of each Member of Parliament.	TT\$177,777.00
a. Gross cost (divide total cost by number of Members of Parliament)	TT\$6,400,000.00
b. Net Cost (salaries of Members of Parliament)	TT\$4,500,000.00

4. Parliamentary services (costs of support services, advisors, secretaries, etc.)	TT4,000,000.00 (Support Services) TT\$10,603,000.00 (Goods and Services) TT\$717,628.00 (Furniture, Equipment etc.)
5. Allocation of resources: caucus vs. individual.	No Services to parties
6. Access to other resources for parliamentary needs (special funds, resources from political party budgets, etc.)	TT\$1,380,000.00
VI. LIST OF REGULATIONS ON FINANCING	
✓ Representation of the Peoples Ordinance	
VII. BIBLIOGRAPHY LIST RELATED TO POLITICAL FINANCING	
VIII. OTHER ANNEXES	