

COMPARATIVE ANALYSIS ON POLITICAL PARTY AND CAMPAIGN FINANCING

APPENDIX No. 1

Matrix for collection of information on normative frameworks

<p>NAME OF COUNTRY AND NATIONAL RESEARCHER THE BAHAMAS REGINALD H. LOBOSKY</p>	
<p>I. NATURE OF FINANCING REGIMENS (PUBLIC, PRIVATE AND MIXED)</p>	
<p>A. GOVERNMENT DIRECT FUNDING</p>	
<p>The Government of The Bahamas does not provide any direct funding for the election activities listed nor does it provide any direct funding of any election activity</p>	
1. Conditions for eligibility:	
2. Limits / amounts:	
3. Fund distribution:	
a. To parties:	
✓ Routine operation	
✓ Electoral campaign	
✓ Research / Capacity building	
✓ Mixed	
b. To candidates:	
✓ Presidency	
✓ Parliament	
c. To party caucus or individual members of parliament/congress	
d. Other organizations:	
4. Election funding:	
a. Internal party elections	
b. Presidential elections	
c. Parliamentary elections	
d. Local municipal elections	

5. Time of disbursement:	
a. Before campaign	
b. During campaign	
c. After campaign	
d. Combined	
6. Criteria for distribution:	
a. Equitable (in equal parts)	
b. Proportional to the electoral strength	
c. Parliamentary representation	
d. Mixed methods:	
✓ Equitable and electoral strength	
✓ Electoral strength and parliamentary representation	
✓ Other	
B. INDIRECT GOVERNMENT FUNDING	
1. Objects of financing	None
a. Transportation	None
b. Publication (printing, mailing and posting)	None
c. Exemptions	None
d. Grants	None
e. Tax benefits	None
f. Loans	None
g. get-out-the vote campaigns	None
h. Political broadcasting	Usually none except perhaps for single addresses of leaders of contesting parties
i. Training	None
j. Other	None
C. NON-GOVERNMENTAL FUNDING: CONTRIBUTIONS RESTRICTIONS	
1. Contribution limits:	None

2. Prohibitions:	None
a. Individuals / Legal entities	None
b. Foreign donors	None
c. Unions	None
d. Associations / Corporations	None
e. Government contractors	None
f. Anonymous	None
g. Other	None except the prohibition against Government entities as contributors
D. LIMITATIONS ON EXPENDITURES	
1. Political parties:	
a. Amount	None
2. Candidates:	
a. Presidency:	None
✓ Amount	
✓ Are election candidates centered?	
b. Parliament:	None
✓ Amount	
E. ESTIMATED COSTS OF POLITICAL FINANCING	
1. Previous elections:	
a. Presidency	N/A
b. Parliament	See below
2. Cost by actors	
a. Political parties	Estimated total \$10 million for 2002
a. Candidates	
b. Electoral authority	\$500,000
3. Funding sources: Amounts / Percentages	
a. Public financing	Approximately 500,000 for electoral Auth.
b. Private financing	All party financing was raised essentially from the private business sector
✓ List principal donors	
c. Resources from political party budgets	See B above
d. Other	Minimal but not capable of calculation

4. Allocation: greater costs on the campaign	
a. Advertisement (television, radio, press, others)	Substantial perhaps approximately \$4-5 million
b. Staff Salaries	\$1.5- \$2 million
c. Transportation	\$2 million approximately
d. Vote buying?	Unknown buy incalculable in any event
e. Other	Approximately \$1 - \$2 million

II. ACCESS TO THE MEDIA	
A. FREE POLITICAL BROADCASTING	
1. Electoral time slots:	
a. Obligatory:	
✓ State-run media (TV, radio and press)	
✓ Private media (TV, radio and press)	
b. Voluntary:	
✓ Private media (TV, radio and press)	
2. Type time slots:	
a. Unique (only free political broadcasting)	
b. Principal (paid political broadcasting does not exceed the electoral time slots)	
c. Complementary (paid political broadcasting exceeds the electoral time slots)	
3. Time slots in non-electoral periods	
4. Cost of time slots	
a. Completely free	
b. Reduced fee or State sponsored	

5. Access to time slots:	
a. Per previous election results:	
✓ Number of votes gained	
✓ Positions gained	
✓ Criteria for new political organizations	
b. Combined criteria	
c. Completely free	
6. Production cost (time slots)	
a. Paid by the State	
b. Non-paid	
7. Time granted:	
a. As a whole	
b. To parties and electoral alliances	
c. To candidates	
8. Organizations granting the time slots	
9. Organizations monitoring the time slots	
10. Sanctions	
B. CONTRACTING OF TIME SLOTS	
1. Advertising paid by parties, candidates, etc:	
a. In addition to paid time slots:	
✓ Limits	
b. Resulting from lack of time slots:	
✓ Limits	
✓ Unlimited	
c. Rules and fees for paid advertising	
d. Controller agencies	
e. Radio adds/Publicity spots: Cost per	

minute/second.	
2. Indirect advertising:	
a. Indirect information (positive, negative, neutral)	
b. Journalistic programs	
c. Other	
3. Debate regulations:	
4. Pre-election polls:	
a. Limits	
b. Rules guaranteeing technical quality of data	
5. Regulation for Exit Polls	
6. Specific dispositions for mass media:	
a. Television	
b. Cable	
c. Press	
d. Satellite TV	
e. Internet	

III. PUBLIC DISCLOSURE			
1. Who discloses?	<input type="checkbox"/> Political parties <input type="checkbox"/> Pongos or donors (a Pongo is a political NGO for fundraising purposes)	<input checked="" type="checkbox"/> Candidates <input type="checkbox"/> Others (Please specify)	<input type="checkbox"/> Donors
2. What is disclosed?			
a. Parties:			
<input checked="" type="checkbox"/> Cash Contributions	<input type="checkbox"/> Itemized NO	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-kind contributions (materials and equipment, including loans)	<input type="checkbox"/> Itemized NO	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures NO	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc.	<input type="checkbox"/> Aggregated or not categorized	
<input checked="" type="checkbox"/> Names of donors NO	<input type="checkbox"/> Itemized listing	<input checked="" type="checkbox"/> No donor names listed with contribution amounts	

✓ Addresses of donors NO	<input type="checkbox"/> Full address	<input type="checkbox"/> Partial address	✓ No address required
✓ Names of vendors NO	<input type="checkbox"/> Itemized listing names		✓ No names of vendors listed with expenditures amounts
✓ Personal assets NO	<input type="checkbox"/> Required to be filed		✓ Not required to be filed
b. Candidates: NO			
✓ Cash Contributions NO	<input type="checkbox"/> Itemized		<input type="checkbox"/> Aggregated
✓ In-kind contributions (materials and equipment, including loans) NO	<input type="checkbox"/> Itemized		<input type="checkbox"/> Aggregated
✓ Expenditures NO	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc.		<input type="checkbox"/> Aggregated or not categorized
✓ Names of donors NO	<input type="checkbox"/> Itemized listing names		✓ No names of donors listed with contribution amounts
✓ Addresses of donors NO	<input type="checkbox"/> Full address	<input type="checkbox"/> Partial address	✓ No address required
✓ Names of vendors NO	<input type="checkbox"/> Itemized listing names		✓ No names of vendors listed with expenditures amounts
✓ Personal assets YES	✓ Required to be filed		<input type="checkbox"/> Not required to be filed
3. Do party and candidate expenditure reports record campaign and operational expenses separately? N/A	<input type="checkbox"/> Yes Explain: _____ _____ _____ _____		✓ No Explain: _____ _____ _____ _____
4. Are reporting requirements in this country temporary or permanent, i.e. are they only in effect during an election cycle, or year-round before and after an election?	✓ Temporary during elections Explain: _____ _____ _____ _____		<input type="checkbox"/> Permanent Explain: _____ _____ _____ NO _____ _____

<p>5. What kind of monies can the party or candidate legally receive? ALL</p>	<p><input checked="" type="checkbox"/> Private funds <input checked="" type="checkbox"/> Foreign company funds</p>	<p><input checked="" type="checkbox"/> Corporate funds <input checked="" type="checkbox"/> Foreign national funds</p>	<p><input checked="" type="checkbox"/> Union funds <input checked="" type="checkbox"/> Expatriate nationals' funds living overseas</p>
<p>6. What sources of illicit funds for parties and candidates are suspected? UNKNOWN</p>	<p><input type="checkbox"/> Illegal funds given over the limit but not declared by corporations, unions, or persons</p>	<p><input type="checkbox"/> Organized crime <input type="checkbox"/> Drug trafficking funds <input type="checkbox"/> Laundered funds</p>	<p><input type="checkbox"/> Foreign influence funds <input type="checkbox"/> Others <input type="checkbox"/> No illegal funds are suspected</p>
<p>7. What is the name of the body receiving the financial disclosure reports?</p>	<p><input type="checkbox"/> This is a governmental body created by statute</p>	<p><input type="checkbox"/> This is a private body created and funded by government</p>	<p><input type="checkbox"/> This body is constitutionally created</p>
<p>a. Name: NON-EXISTANT</p>			
<p>b. Phone No:</p>			
<p>8. Are there contribution thresholds? (Donations below a certain amount don't have to be reported)</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, what is the amount of the threshold: \$_____</p>	<p>Explain:</p>	
<p>9. When are the disclosure reports due from politicians or parties? NOT REQUIRED</p>	<p><input type="checkbox"/> Before the election - How many weeks before the election? _____ weeks. - How many reports are required? _____ reports.</p>	<p><input type="checkbox"/> After the election. - How many weeks after the election? _____ weeks.</p>	
<p>10. How are the reports transmitted to the public? N/A</p>	<p><input type="checkbox"/> Fax <input type="checkbox"/> Photocopy <input type="checkbox"/> Internet</p>	<p><input type="checkbox"/> Hand copying (copying by hand) <input type="checkbox"/> Gazette or published in local newspapers or bulletins.</p>	<p><input type="checkbox"/> Other. Please specify.</p>

11. Rate how easy or how difficult it is to access the public reports? (Difficulty of accessibility) N/A	<input type="checkbox"/> High	<input type="checkbox"/> Medium	<input type="checkbox"/> Low
12. How much time elapses between the receipt and dissemination of the report by the election commission? N/A	Fill in the blanks: <input type="checkbox"/> Weeks: _____ <input type="checkbox"/> Months _____ <input type="checkbox"/> Years _____		<input type="checkbox"/> Explain: N/A
13. Quality of report criteria:			
a. Are reports itemized or aggregate figures reported?	N/A		
<input checked="" type="checkbox"/> Cash contributions	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-Kind Contributions (materials and equipment, including loans)	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc	<input type="checkbox"/> Aggregated or not categorized	
<input checked="" type="checkbox"/> Names of donors N/A	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> No names of donors listed with contribution amounts	
b. Names of donors N/A	<input type="checkbox"/> Full names of donors required?	<input type="checkbox"/> Full mailing address of donor required?	<input type="checkbox"/> Is donor's name listed on the report by specific amounts of money contributed?
c. Names of vendors N/A	<input type="checkbox"/> Full names of vendors required?	<input type="checkbox"/> Full mailing address of vendor required?	<input type="checkbox"/> Is vendor's name listed on the report by specific amounts of money paid?
d. Categories of expenses N/A	<input type="checkbox"/> Are vendor products or services categorized on the disclosure report? (e.g. media expense, transportation, labor, meals, etc.?)		<input type="checkbox"/> Are vendor purchases listed in aggregate or itemized on expenditure reports?
14. Quality of			

enforcement criteria: N/A			
a. What is the name of the body that enforces the disclosure reporting laws? N/A	<input type="checkbox"/> Same as electoral commission or body that receives the disclosure reports? <input type="checkbox"/> Different body than the one receiving the disclosure reports? Name of body. <input type="checkbox"/> Is the enforcement body a tribunal or special court?	Explain:	
✓ What are the powers of the enforcement body? N/A	<input type="checkbox"/> Passive: - Receive the report with little investigation	<input type="checkbox"/> Active: - Does it audit reports and conducts investigations? - Have sanctions and fines offenders? - Criminal penalties also possible?	
✓ Strength and weakness of the enforcement bodies	<input type="checkbox"/> Strengths:	<input type="checkbox"/> Weaknesses:	
✓ What's the reputation of the enforcement body? Check all apply. N/A	<input type="checkbox"/> Strict <input type="checkbox"/> Not Strict <input type="checkbox"/> Politically bold and doesn't play favorites	<input type="checkbox"/> Politically timid and bends with the power <input type="checkbox"/> Respected <input type="checkbox"/> Not too respected	
15. Reality check / actual practices criteria:			
a. Looking over the above answers, how would you compare the disclosure laws on the books in this nation with the actual practice of disclosure?	<input type="checkbox"/> Distant relationship between the laws on the books and practice of disclosure	<input type="checkbox"/> Reasonable degree of fit between books and practices.	<input type="checkbox"/> Excellent degree of fit between laws and practices
No requirement of disclosure exist			
b. Using the Disclosure Ranking in Column 8 of the Latin America Disclosure Table listed below (produced by USAID for the OAS countries) how do your findings correspond with the table?			
No requirement of disclosure exist			

IV. ENFORCEMENT	
A. CONTROLLER AGENCIES	
The Commonwealth of The Bahamas does not have any regulatory or controller agencies as is envisioned by these questions	
1. Nature of regulatory agencies:	
a. Electoral management bodies	
b. Judges with electoral jurisdiction	
c. Auditing bodies	
d. Combined	
2. Election / Composition of controller agencies:	
3. Functions / Responsibilities:	
4. Autonomies / Dependencies:	
5. Institutional capacity:	
a. Financial resources	
c. Human resources	
d. Technical capacity	
6. Internal party controlling mechanisms:	
B. SANCTION REGIMENS	
Not applicable	
1. Mechanisms:	
a. Permanent and systematic oversight	
b. Complaint-based system	
c. Random auditing	
d. Alternative mechanisms (compliance agreements, etc.)	

e. Combined	
2. Sanction regimens:	
a. Financial penalties:	
✓ Political parties	
✓ Candidates	
✓ Donors	
b. Legal sanctions:	
✓ Political parties	
✓ Candidates	
✓ Donors	
c. Administrative sanctions:	
d. Other sanctions:	
3. Provide examples of sanctions already applied:	
C. CULTURE OF COMPLIANCE AND PUBLIC OVERSIGHT	
Not applicable	
a. Incentives for voluntary compliance:	
✓ Training of campaign workers	
✓ Technical assistance	
✓ Provision of material support	
✓ Subsidies for auditing services	
✓ Public education	
b. Public oversight of resources:	
✓ Names of participating civil society organizations	
✓ Initiatives of citizens	
✓ Other	
c. Cases of corruption? If yes, provide examples:	

V. INFORMATION ABOUT PARLIAMENTS	
1. Total cost to Parliament (Figures from general national budget and Parliament budget)	
2. Who is the parliamentary budget administrator?	
3. Cost of each Member of Parliament.	
a. Gross cost (divide total cost by number of Members of Parliament)	
b. Net Cost (salaries of Members of Parliament)	
4. Parliamentary services (costs of support services, advisors, secretaries, etc.)	
5. Allocation of resources: caucus vs. individual.	
6. Access to other resources for parliamentary needs (special funds, resources from political party budgets, etc.)	

VI. LIST OF REGULATIONS ON FINANCING

VII. BIBLIOGRAPHY LIST RELATED TO POLITICAL FINANCING

VIII. OTHER ANNEXES