

**COMPARATIVE ANALYSIS ON POLITICAL PARTY AND CAMPAIGN FINANCING**

**APPENDIX No. 1**

**Matrix for collection of information on normative frameworks**

<p><b>NAME OF COUNTRY AND NATIONAL RESEARCHER</b>  <b>DOMINICA</b>  <b>CECILIA BABB</b></p>	
<p><b>I. NATURE OF FINANCING REGIMENS (PUBLIC, PRIVATE AND MIXED)</b></p>	
<p><b>A. GOVERNMENT DIRECT FUNDING</b></p>	
<p>(NOT APPLICABLE)  THERE IS NO PROVISION FOR GOVERNMENT TO FUND POLITICAL PARTIES OR CAMPAIGNS</p>	
<p><b>1. Conditions for eligibility:</b></p>	
<p><b>2. Limits / amounts:</b></p>	
<p><b>3. Fund distribution:</b></p>	
<p>a. To parties:</p>	
<p>✓ Routine operation</p>	
<p>✓ Electoral campaign</p>	
<p>✓ Research / Capacity building</p>	
<p>✓ Mixed</p>	
<p>b. To candidates:</p>	
<p>✓ Presidency</p>	
<p>✓ Parliament</p>	
<p>c. To party caucus or individual members of parliament/congress</p>	
<p>d. Other organizations:</p>	
<p><b>4. Election funding:</b></p>	
<p>a. Internal party elections</p>	
<p>b. Presidential elections</p>	
<p>c. Parliamentary elections</p>	

d. Local municipal elections	
<b>5. Time of disbursement:</b>	
a. Before campaign	
b. During campaign	
c. After campaign	
d. Combined	
<b>6. Criteria for distribution:</b>	
a. Equitable (in equal parts)	
b. Proportional to the electoral strength	
c. Parliamentary representation	
d. Mixed methods:	
✓ Equitable and electoral strength	
✓ Electoral strength and parliamentary representation	
✓ Other	
<b>B. INDIRECT GOVERNMENT FUNDING</b>	
(NOT APPLICABLE) THERE ARE NO PROVISIONS FOR USE OF GOVERNMENT RESOURCES/FACILITIES FOR CAMPAIGNS	
<b>1. Objects of financing</b>	
a. Transportation	
b. Publication (printing, mailing and posting)	
c. Exemptions	
d. Grants	
e. Tax benefits	
f. Loans	
g. get-out-the vote campaigns	
h. Political broadcasting	
i. Training	
j. Other	

<b>C. NON-GOVERNMENTAL FUNDING: CONTRIBUTIONS RESTRICTIONS</b>	
(NOT APPLICABLE) TO DATE NO SUCH ORGANISATIONS EXIST FOR THIS PURPOSE IN DOMINICA	
<b>1. Contribution limits:</b>	
<b>2. Prohibitions:</b>	
a. Individuals / Legal entities	
b. Foreign donors	
c. Unions	
d. Associations / Corporations	
e. Government contractors	
f. Anonymous	
g. Other	
<b>D. LIMITATIONS ON EXPENDITURES</b>	
(NOT APPLICABLE) THERE ARE NO LIMITS ON EXPENDITURES – NO LEGISLATION OR REGULATION IN THAT REGARD	
<b>1. Political parties:</b>	
a. Amount	
<b>2. Candidates:</b>	
a. Presidency:	
✓ Amount	
✓ Are election candidates centered?	
b. Parliament:	
✓ Amount	
<b>E. ESTIMATED COSTS OF POLITICAL FINANCING</b>	
<b>1. Previous elections:</b>	THE REFERENCE PERIOD IS ELECTIONS HELD IN 1990, 1995 AND 2000
a. Presidency	NOT APPLICABLE – DOMINICA’S PRESIDENT IS APPOINTED NOT ELECTED
b. Parliament	Total cost is not calculated
<b>2. Cost by actors</b>	SEE TABLE I FOR ADDITIONAL INFORMATION
a. Political parties	Between 1990 – 2000 COSTS HAVE MOVED from EC\$ 600,000 at the lowest end to EC\$3,000,000 at the highest estimate.
a. Candidates	Between 1990 – 2000 COSTS HAVE RANGED FROM EC\$50,000.

	TO EC\$200,000 per candidate
b. Electoral authority	YEAR 2001/2002 = EC\$440,637 (additional costs incurred Jan 2000 elections would be fully paid by within the financial year 2001/ 2002.)
<b>3. Funding sources: Amounts / Percentages</b>	
a. Public financing	NONE
b. Private financing	50% DONATIONS FROM private sector; 25% PERSONAL LOANS; 25% constituency level fundraising
✓ List principal donors	FIRMS PREFER NOT TO BE NAMED
c. Resources from political party budgets	25% CAMPAIGN FUNDS ARE RAISED AS A LOAN FOR WHICH THE PARTY IS LIABLE.
d. Other	NATIONALS BASED OVERSEAS; INTERNATIONAL AFFILIATED BODIES
<b>4. Allocation: greater costs on the campaign</b>	SEE TABLE II FOR YEAR 2000 INFORMATION PER PARTY
a. Advertisement (television, radio, press, others)	THIS IS THE SECOND HIGHEST COST: for the three parties taken as a whole the total amount is EC\$ 732,000 IN YEAR 2000
b. Staff Salaries	NOT QUANTIFIED BECAUSE THIS IS USUALLY A DONATION BY SUPPORTERS
c. Transportation	THIS IS THE HIGHEST COST: - EC\$1,200,000 IN YEAR 2000 for all three parties added together
d. Vote buying?	THIS IS A COST THAT NO PARTY WAS WILLING TO REVEAL
e. Other	RALLIES - EC\$ 690,000 IN YEAR 2000

## II. ACCESS TO THE MEDIA

### A. FREE POLITICAL BROADCASTING

(NOT APPLICABLE)  
THERE IS NO POLICY OF FREE POLITICAL BROADCASTING

<b>1. Electoral time slots:</b>	
a. Obligatory:	NONE
✓ State-run media (TV, radio and press)	
✓ Private media (TV, radio and press)	
b. Voluntary:	Ad hoc with no fixed time allocation per media house
✓ Private media (TV, radio and press)	

<b>2. Type time slots:</b>	Not applicable
a. Unique (only free political broadcasting)	NONE
b. Principal (paid political broadcasting does not exceed the electoral time slots)	Not limits – time slots regulated by purchasing power
c. Complementary (paid political broadcasting exceeds the electoral time slots)	Not applicable
<b>3. Time slots in non-electoral periods</b>	RADIO TALK SHOWS, GOVERNMENT INFORMATION SERVICE FACILITATES RULING PARTY
<b>4. Cost of time slots</b>	EC\$ 600 – 1,000 PER HOUR ON RADIO; EC\$6000 – 750 PER HOUR ON TV.
a. Completely free	NONE EXCEPT IF A PARTY GETS 10 MINUTES ON A TV BREAKFAST SHOW
b. Reduced fee or State sponsored	REDUCED FEE WOULD BE BASED ON A PARTY'S NEGOTIATION WITH COMPANY; NO STATE SPONSORED TIME
<b>5. Access to time slots:</b>	NOT APPLICABLE – NO SUCH ANALYSIS HAS BEEN DONE
a. Per previous election results:	
✓ Number of votes gained	
✓ Positions gained	
✓ Criteria for new political organizations	
b. Combined criteria	NONE
c. Completely free	NONE
<b>6. Production cost (time slots)</b>	
a. Paid by the State	NONE
b. Non-paid	NONE
<b>7. Time granted:</b>	
a. As a whole	NONE
b. To parties and electoral alliances	NONE
c. To candidates	NONE
<b>8. Organizations granting the time slots</b>	NONE

<b>9. Organizations monitoring the time slots</b>	NONE
<b>10. Sanctions</b>	NONE
<b>B. CONTRACTING OF TIME SLOTS</b>	
<b>1. Advertising paid by parties, candidates,</b>	
a. In addition to paid time slots:	SUPPORTERS MAY OCCASIONALLY DO SO BUT THIS IS NOT A FREQUENT PRACTICE
✓ Limits	NONE
b. Resulting from lack of time slots:	ALL TIME SLOTS ARE PAID FOR; THERE ARE NO FREE TIME SLOTS AND NO RESTRICTIONS – WHATEVER MONEY CAN BUY IS AVAILABLE
✓ Limits	NONE
✓ Unlimited	AS MUCH AS A PARTY OR CANDIDATE CAN AFFORD
c. Rules and fees for paid advertising	SEE TABLE III FOR DETAILS BY RADIO, TV, NEWSPAPERS RULES RELATE ONLY TO GOOD PUBLIC TASTE AND MORALS; NON LIBELOUS; NEUTRAL LANGUAGE
d. Controller agencies	NONE
e. Radio adds/Publicity spots: Cost per minute/second.	REGULAR TIME EC\$15.00 PER SECOND; PRIME TIME EC\$30.00 PER SECOND
<b>2. Indirect advertising:</b>	
a. Indirect information (positive, negative, neutral)	NEWS COVERAGE
b. Journalistic programs	TALK SHOWS, NEWS RELEASES BY PARTIES, ARTICLES BY A NEWSPAPER ON A PARTICULAR ISSUE
c. Other	
<b>3. Debate regulations:</b>	AS DETERMINED BY ENTITY HOSTING THE DEBATE – NO SET REGULATIONS
<b>4. Pre-election polls:</b>	
a. Limits	NO REGULATIONS
b. Rules guaranteeing technical quality of data	NONE – POLLS ARE INFREQUENT; MOST PARTIES DO NOT TRUST POLLS
<b>5. Regulation for Exit Polls</b>	NONE
<b>6. Specific dispositions for mass media:</b>	
a. Television	COMPANY’S OWN PRECAUTIONS AGAINST LIBEL AND TO ENSURE GOOD TASTE

b. Cable	COMPANY'S OWN PRECAUTIONS AGAINST LIBEL
c. Press	COMPANY'S OWN PRECAUTIONS AGAINST LIBEL
d. Satellite TV	COMPANY'S PRECAUTIONS AGAINST LIBEL AND TO ENSURE GOOD TASTE
e. Internet	PARTY'S OWN DISCRETION

### III. PUBLIC DISCLOSURE

(NOT APPLICABLE)  
LEGISLATION PASSED JUNE 2003 REFERS ONLY TO PERSONS IN PUBLIC LIFE AND NOT TO PARTIES OR THEIR CAMPAIGNS. SUCH DISCLOSURE IS STRICTLY FOR THE COMMISSIONERS AND NOT FOR PUBLIC SCRUTINY (a copy is BEING SENT BY COURIER).

<b>1. Who discloses?</b>	<input type="checkbox"/> Political parties <input type="checkbox"/> Pongos or donors (a Pongo is a political NGO for fundraising purposes)	<input type="checkbox"/> Candidates <input type="checkbox"/> Others (Please specify)	<input type="checkbox"/> Donors
<b>2. What is disclosed?</b>			
a. Parties:			
<input checked="" type="checkbox"/> Cash Contributions	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-kind contributions (materials and equipment, including loans)	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc.	<input type="checkbox"/> Aggregated or not categorized	
<input checked="" type="checkbox"/> Names of donors	<input type="checkbox"/> Itemized listing	<input type="checkbox"/> No donor names listed with contribution amounts	
<input checked="" type="checkbox"/> Addresses of donors	<input type="checkbox"/> Full address	<input type="checkbox"/> Partial address	<input type="checkbox"/> No address required
<input checked="" type="checkbox"/> Names of vendors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> No names of vendors listed with expenditures amounts	
<input checked="" type="checkbox"/> Personal assets	<input type="checkbox"/> Required to be filed	<input type="checkbox"/> Not required to be filed	
b. Candidates:			
<input checked="" type="checkbox"/> Cash Contributions	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-kind contributions (materials and equipment, including loans)	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc.	<input type="checkbox"/> Aggregated or not categorized	
<input checked="" type="checkbox"/> Names of donors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> No names of donors listed with contribution amounts	

✓ Addresses of donors	<input type="checkbox"/> Full address	<input type="checkbox"/> Partial address	<input type="checkbox"/> No address required
✓ Names of vendors	<input type="checkbox"/> Itemized listing names		<input type="checkbox"/> No names of vendors listed with expenditures amounts
✓ Personal assets	<input type="checkbox"/> Required to be filled		<input type="checkbox"/> Not required to be filled
<b>3. Do party and candidate expenditure reports record campaign and operational expenses separately?</b>	<input type="checkbox"/> Yes Explain: _____ _____ _____ _____	<input type="checkbox"/> No Explain: _____ _____ _____ _____	
<b>4. Are reporting requirements in this country temporary or permanent, i.e. are they only in effect during an election cycle, or year-round before and after an election?</b>	<input type="checkbox"/> Temporary during elections Explain: _____ _____ _____ _____	<input type="checkbox"/> Permanent Explain: _____ _____ _____ _____	
<b>5. What kind of monies can the party or candidate legally receive?</b>	<input type="checkbox"/> Private funds <input type="checkbox"/> Foreign company funds	<input type="checkbox"/> Corporate funds <input type="checkbox"/> Foreign national funds	<input type="checkbox"/> Union funds <input type="checkbox"/> Expatriate nationals' funds living overseas
<b>6. What sources of illicit funds for parties and candidates are suspected?</b>	<input type="checkbox"/> Illegal funds given over the limit but not declared by corporations, unions, or persons	<input type="checkbox"/> Organized crime <input type="checkbox"/> Drug trafficking funds <input type="checkbox"/> Laundered funds	<input type="checkbox"/> Foreign influence funds <input type="checkbox"/> Others <input type="checkbox"/> No illegal funds are suspected
<b>7. What is the name of the body receiving the financial disclosure reports?</b>	<input type="checkbox"/> This is a governmental body created by statute	<input type="checkbox"/> This is a private body created and funded by government	<input type="checkbox"/> This body is constitutionally created
a. Name:			



b. Phone No:			
<b>8. Are there contribution thresholds? (Donations below a certain amount don't have to be reported)</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, what is the amount of the threshold: \$ _____		Explain:
<b>9. When are the disclosure reports due from politicians or parties?</b>	<input type="checkbox"/> Before the election - How many weeks before the election? _____ weeks. - How many reports are required? _____ reports.		<input type="checkbox"/> After the election. - How many weeks after the election? _____ weeks.
<b>10. How are the reports transmitted to the public?</b>	<input type="checkbox"/> Fax  <input type="checkbox"/> Photocopy  <input type="checkbox"/> Internet	<input type="checkbox"/> Hand copying (copying by hand) <input type="checkbox"/> Gazette or published in local newspapers or bulletins.	<input type="checkbox"/> Other. Please specify.
<b>11. Rate how easy or how difficult it is to access the public reports? (Difficulty of accessibility)</b>	<input type="checkbox"/> High	<input type="checkbox"/> Medium	<input type="checkbox"/> Low
<b>12. How much time elapses between the receipt and dissemination of the report by the election commission?</b>	Fill in the blanks: <input type="checkbox"/> Weeks: _____ <input type="checkbox"/> Months _____ <input type="checkbox"/> Years _____		<input type="checkbox"/> Explain:
<b>13. Quality of report criteria:</b>			
a. Are reports itemized or aggregate figures reported?			
<input checked="" type="checkbox"/> Cash contributions	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-Kind Contributions (materials and equipment, including loans)	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc	<input type="checkbox"/> Aggregated or not categorized	

✓ Names of donors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> No names of donors listed with contribution amounts	
b. Names of donors	<input type="checkbox"/> Full names of donors required?	<input type="checkbox"/> Full mailing address of donor required?	<input type="checkbox"/> Is donor's name listed on the report by specific amounts of money contributed?
c. Names of vendors	<input type="checkbox"/> Full names of vendors required?	<input type="checkbox"/> Full mailing address of vendor required?	<input type="checkbox"/> Is vendor's name listed on the report by specific amounts of money paid?
d. Categories of expenses	<input type="checkbox"/> Are vendor products or services categorized on the disclosure report? (e.g. media expense, transportation, labor, meals, etc.?)	<input type="checkbox"/> Are vendor purchases listed in aggregate or itemized on expenditure reports?	
<b>14. Quality of enforcement criteria:</b>			
a. What is the name of the body that enforces the disclosure reporting laws?	<input type="checkbox"/> Same as electoral commission or body that receives the disclosure reports? <input type="checkbox"/> Different body than the one receiving the disclosure reports? Name of body. <input type="checkbox"/> Is the enforcement body a tribunal or special court?	Explain:	
✓ What are the powers of the enforcement body?	<input type="checkbox"/> Passive: - Receive the report with little investigation	<input type="checkbox"/> Active: - Does it audit reports and conducts investigations? - Have sanctions and fines offenders? - Criminal penalties also possible?	
✓ Strength and weakness of the enforcement bodies	<input type="checkbox"/> Strengths:	<input type="checkbox"/> Weaknesses:	
✓ What's the reputation of the enforcement body? Check all apply.	<input type="checkbox"/> Strict <input type="checkbox"/> Not Strict <input type="checkbox"/> Politically bold and doesn't play favorites	<input type="checkbox"/> Politically timid and bends with the power <input type="checkbox"/> Respected <input type="checkbox"/> Not too respected	
<b>15. Reality check / actual practices</b>			

<b>criteria:</b>			
a. Looking over the above answers, how would you compare the disclosure laws on the books in this nation with the actual practice of disclosure?	<input type="checkbox"/> Distant relationship between the laws on the books and practice of disclosure	<input type="checkbox"/> Reasonable degree of fit between books and practices.	<input type="checkbox"/> Excellent degree of fit between laws and practices
b. Using the Disclosure Ranking in Column 8 of the Latin America Disclosure Table listed below (produced by USAID for the OAS countries) how do your findings correspond with the table?			

<b>IV. ENFORCEMENT</b>	
<b>A. CONTROLLER AGENCIES</b>	
(NOT APPLICABLE)	
<b>1. Nature of regulatory agencies:</b>	
a. Electoral management bodies	
b. Judges with electoral jurisdiction	
c. Auditing bodies	
d. Combined	
<b>2. Election / Composition of controller agencies:</b>	
<b>3. Functions / Responsibilities:</b>	
<b>4. Autonomies / Dependencies:</b>	
<b>5. Institutional capacity:</b>	
a. Financial resources	
c. Human resources	
d. Technical capacity	
<b>6. Internal party controlling mechanisms:</b>	NONE PROVIDED IN THEIR CONSTITUTION AND RULES

<b>B. SANCTION REGIMENS</b>	
(NOT APPLICABLE)	
<b>1. Mechanisms:</b>	
a. Permanent and systematic oversight	
b. Complaint-based system	
c. Random auditing	
d. Alternative mechanisms (compliance agreements, etc.)	
e. Combined	
<b>2. Sanction regimens:</b>	
a. Financial penalties:	
✓ Political parties	
✓ Candidates	
✓ Donors	
b. Legal sanctions:	
✓ Political parties	
✓ Candidates	
✓ Donors	
c. Administrative sanctions:	
d. Other sanctions:	
<b>3. Provide examples of sanctions already applied:</b>	
<b>C. CULTURE OF COMPLIANCE AND PUBLIC OVERSIGHT</b>	
(NOT APPLICABLE)	
a. Incentives for voluntary compliance:	
✓ Training of campaign workers	
✓ Technical assistance	
✓ Provision of material support	
✓ Subsidies for auditing services	
✓ Public education	

b. Public oversight of resources:	
✓ Names of participating civil society organizations	
✓ Initiatives of citizens	
✓ Other	
c. Cases of corruption? If yes, provide examples:	

## V. INFORMATION ABOUT PARLIAMENTS

<p>Information specifically on cost of elections not available. Information given below refers to the regular budget of Parliament. Salary of each Cabinet Minister is located within the relevant Ministry, and, Prime Minister's Office (D30). Sources of information used below are the Speaker of the House of Assembly and the Estimates. year 2001/2002 actual expenditure pages 37-38; Programme Head D – 25</p>	
1. Total cost to Parliament (Figures from general national budget and Parliament budget)	<p>Prime Minister, 8 Ministers, Attorney General = EC\$480,000          Legislature House of Assembly: = EC\$669,482.  <b>TOTAL =EC\$1,149,482</b></p> <p>Elections (Programme Head D28) expenditure year 2001/ 2002 = EC\$440,637.          It is safe to assume that all the additional expenses incurred during the general elections of 2000 would have been paid by June 2002</p>
2. Who is the parliamentary budget administrator?	THE CLERK OF THE HOUSE OF ASSEMBLY
3. Cost of each Member of Parliament.	<p>8 Ministers, AG, Prime Minister = EC\$480,000 plus individual allowances</p> <p>Speaker of the House of Assembly = EC\$ 19,500          Deputy Speaker = EC\$ 15,600          Leader of the Opposition = EC\$ 19,500          4 Parliamentary Secretaries = EC\$117,120          6 Members of the Opposition = EC\$123,120          6 Senators = EC\$ 46,000          Clerk of the House = EC\$ 47,178</p>
a. Gross cost (divide total cost by number of Members of Parliament)	EC\$ 881,543 ÷ 30 = <b>EC\$29,384.77</b>

b. Net Cost (salaries of Members of Parliament)	EC\$401, 543 + EC\$480,000 = <b>EC\$ 881,543</b> Using figures in Estimates (personal Emoluments)
4. Parliamentary services (costs of support services, advisors, secretaries, etc.)	EC\$267,939 (YEAR 2001/2002)
5. Allocation of resources: caucus vs. individual.	NONE BY CAUCUS; INDIVIDUALS
6. Access to other resources for parliamentary needs (special funds, resources from political party budgets, etc.)	NONE Ec\$13,000 is allocated by Parliament to the expenses of the Commonwealth Parliamentary Association
<b>VI. LIST OF REGULATIONS ON FINANCING</b>	
✓ NONE EXIST IN DOMINICA (Source of information – Attorney General)	
<b>VII. BIBLIOGRAPHY LIST RELATED TO POLITICAL FINANCING</b>	
✓ NO LOCAL STUDIES DONE	
<b>VIII. OTHER ANNEXES</b>	
<b>(COURIER)</b>	
1. 2003 INTEGRITY IN PUBLIC OFFICE ACT No. 6	
2. The United Workers Party Constitution and Rules	
3. Laws of Dominica. Page 46, Chap. 2:01 House of Assembly (Elections)	
4. MISSION STATEMENT OF GOVERNMENT INFORMAITON SERVICES	