

**COMPARATIVE ANALYSIS ON POLITICAL PARTY AND CAMPAIGN FINANCING****APPENDIX No. 1****Matrix for collection of information on normative frameworks**

<b>NAME OF COUNTRY AND NATIONAL RESEARCHER ANTIGUA AND BARBUDA</b>	
<b>I. NATURE OF FINANCING REGIMENS (PUBLIC, PRIVATE AND MIXED)</b>	
<b>A. GOVERNMENT DIRECT FUNDING</b>	
<b>Not applicable. There is no legal or other authority for the funding of political parties or candidates by the Government.</b>	
<b>1. Conditions for eligibility:</b>	
<b>2. Limits / amounts:</b>	
<b>3. Fund distribution:</b>	
a. To parties:	
✓ Routine operation	
✓ Electoral campaign	
✓ Research / Capacity building	
✓ Mixed	
b. To candidates:	
✓ Presidency	
✓ Parliament	
c. To party caucus or individual members of parliament/congress	
d. Other organizations:	
<b>4. Election funding:</b>	
a. Internal party elections	
b. Presidential elections	
c. Parliamentary elections	
d. Local municipal elections	
<b>5. Time of</b>	

<b>disbursement:</b>	
a. Before campaign	
b. During campaign	
c. After campaign	
d. Combined	
<b>6. Criteria for distribution:</b>	
a. Equitable (in equal parts)	
b. Proportional to the electoral strength	
c. Parliamentary representation	
d. Mixed methods:	
✓ Equitable and electoral strength	
✓ Electoral strength and parliamentary representation	
✓ Other	
<b>B. INDIRECT GOVERNMENT FUNDING</b>	
<b>Not applicable. No statutory or other legal authority for funding of political parties or candidates by the government.</b>	
<b>1. Objects of financing</b>	
a. Transportation	
b. Publication (printing, mailing and posting)	
c. Exemptions	
d. Grants	
e. Tax benefits	
f. Loans	
g. get-out-the vote campaigns	
h. Political broadcasting	
i. Training	
j. Other	

<b>C. NON-GOVERNMENTAL FUNDING: CONTRIBUTIONS RESTRICTIONS</b>	
<b>1. Contribution limits:</b>	No contribution limits
<b>2. Prohibitions:</b>	
a. Individuals / Legal entities	<div style="border: 1px solid black; padding: 5px;">                     Under section 43(2) of the Represent of the People (Amendment) Act 2001 (RPA) “No political party shall accept any monetary or other contribution exceeding five thousand dollars unless it can identify the source of the money or other contribution to the Commission.                 </div>
b. Foreign donors	
c. Unions	
d. Associations / Corporations	
e. Government contractors	
f. Anonymous	
g. Other	
<b>D. LIMITATIONS ON EXPENDITURES</b>	
<b>Not applicable. No limitation on expenditures.</b>	
<b>1. Political parties:</b>	
a. Amount	
<b>2. Candidates:</b>	
a. Presidency:	
✓ Amount	
✓ Are election candidates centered?	
b. Parliament:	
✓ Amount	
<b>E. ESTIMATED COSTS OF POLITICAL FINANCING</b>	
<b>1. Previous elections:</b>	There were no disclosure requirements in previous elections. The 2001 amendments to the RPA introduced the requirement for the first time as follows. 3. “Every political party procuring the election of a candidate shall, within six weeks after the amendment of the results of the election, file a report of the contributions made by individuals and entities to the Commission.” 4. “Every political party shall grant to any officer of the Commission authorized in writing, access to examine the records and audited accounts kept by the political party in accordance with this section and, as regards, shall give all such information as may be requested in election to all contributions received by or on behalf of the party.
a. Presidency	
b. Parliament	

<b>2. Cost by actors</b>	
a. Political parties	
a. Candidates	
b. Electoral authority	
<b>3. Funding sources: Amounts / Percentages</b>	
a. Public financing	
b. Private financing	
✓ List principal donors	
c. Resources from political party budgets	
d. Other	
<b>4. Allocation: greater costs on the campaign</b>	
a. Advertisement (television, radio, press, others)	
b. Staff Salaries	
c. Transportation	
d. Vote buying?	
e. Other	

<b>II. ACCESS TO THE MEDIA</b>	
<b>A. FREE POLITICAL BROADCASTING</b>	
<b>1. Electoral time slots:</b>	<p>None of this is really applicable for Antigua and Barbuda but under the section 36(1) of the Representation of the People (Amendment) Act 2001 the Electoral Commission is required, upon the issuing of the writ for an election, to consult with all electronic and print media operating in Antigua and Barbuda 15 draw up a time-table for political broadcast and access to the print media for political parties and candidates to promote their views through the electronic media and to have their messages printed in the newspapers circulating in Antigua and Barbuda.</p>
a. Obligatory:	
✓ State-run media (TV, radio and press)	
✓ Private media (TV, radio and press)	
b. Voluntary:	
✓ Private media (TV, radio and press)	
<b>2. Type time slots:</b>	
a. Unique (only free political broadcasting)	
b. Principal (paid	

political broadcasting does not exceed the electoral time slots)	Continued, Also under section 36(2)(a) of the said Representation of the People (Amendment) Act 2001 provision is made for the Electoral Commission to make rules governing the procedure for the access of the electronic and print media during an election. No rules have yet been made as of 10 September 2003.
c. Complementary (paid political broadcasting exceeds the electoral time slots)	
<b>3. Time slots in non-electoral periods</b>	
<b>4. Cost of time slots</b>	
a. Completely free	
b. Reduced fee or State sponsored	
<b>5. Access to time slots:</b>	
a. Per previous election results:	
✓ Number of votes gained	
✓ Positions gained	
✓ Criteria for new political organizations	
b. Combined criteria	
c. Completely free	
<b>6. Production cost (time slots)</b>	
a. Paid by the State	
b. Non-paid	
<b>7. Time granted:</b>	
a. As a whole	
b. To parties and electoral alliances	
c. To candidates	
<b>8. Organizations granting the time slots</b>	
<b>9. Organizations monitoring the time slots</b>	
<b>10. Sanctions</b>	
<b>B. CONTRACTING OF TIME SLOTS</b>	
<b>1. Advertising paid by</b>	

<b>parties, candidates, etc:</b>		<p>Under the Representation of the People (Amendment) Act of 2001 (No. 17 of 2001), section 36(2) (b) the Electoral Commission is mandated to make rules requiring owners of the electronic and print media to provide equitable allocation of time and space in a non-discriminating manner to enable political parties and candidates to carry their messages in accordance with guidelines enacted by the Electoral Commission.</p> <p>As of 10 September 2003 no rules had yet been made by the Commission.</p>	
a. In addition to paid time slots:			
✓ Limits			
b. Resulting from lack of time slots:			
✓ Limits			
✓ Unlimited			
c. Rules and fees for paid advertising			
d. Controller agencies			
e. Radio adds/Publicity spots: Cost per minute/second.			
<b>2. Indirect advertising:</b>			
a. Indirect information (positive, negative, neutral)			
b. Journalistic programs			
c. Other			
<b>3. Debate regulations:</b>			
<b>4. Pre-election polls:</b>			
a. Limits			
b. Rules guaranteeing technical quality of data			
<b>5. Regulation for Exit Polls</b>			
<b>6. Specific dispositions for mass media:</b>			
a. Television			
b. Cable			
c. Press			
d. Satellite TV			
e. Internet			

<b>III. PUBLIC DISCLOSURE</b>			
<b>1. Who discloses?</b>	<input checked="" type="checkbox"/> Political parties <input type="checkbox"/> Pongos or donors (a Pongo is a political NGO for fundraising purposes)	<input type="checkbox"/> Candidates <input type="checkbox"/> Others (Please specify)	<input type="checkbox"/> Donors
<b>2. What is disclosed?</b>			
<b>a. Parties:</b>			
<input checked="" type="checkbox"/> Cash Contributions	<input checked="" type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-kind contributions (materials and equipment, including loans)	<input checked="" type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc.	<input type="checkbox"/> Aggregated or not categorized	
<input checked="" type="checkbox"/> Names of donors	<input checked="" type="checkbox"/> Itemized listing	<input type="checkbox"/> No donor names listed with contribution amounts	
<input checked="" type="checkbox"/> Addresses of donors	<input type="checkbox"/> Full address	<input type="checkbox"/> Partial address	<input checked="" type="checkbox"/> No address required
<input checked="" type="checkbox"/> Names of vendors	<input type="checkbox"/> Itemized listing names	<input checked="" type="checkbox"/> No names of vendors listed with expenditures amounts	
<input checked="" type="checkbox"/> Personal assets	<input type="checkbox"/> Required to be filed	<input checked="" type="checkbox"/> Not required to be filed	
<b>b. Candidates:</b>			
<input checked="" type="checkbox"/> Cash Contributions	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> In-kind contributions (materials and equipment, including loans)	<input type="checkbox"/> Itemized	<input type="checkbox"/> Aggregated	
<input checked="" type="checkbox"/> Expenditures	<input type="checkbox"/> Listed by categories or itemized, e.g. media, etc.	<input type="checkbox"/> Aggregated or not categorized	
<input checked="" type="checkbox"/> Names of donors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> No names of donors listed with contribution amounts	
<input checked="" type="checkbox"/> Addresses of donors	<input type="checkbox"/> Full address	<input type="checkbox"/> Partial address	<input type="checkbox"/> No address required
<input checked="" type="checkbox"/> Names of vendors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> No names of vendors listed with expenditures amounts	
<input checked="" type="checkbox"/> Personal assets	<input type="checkbox"/> Required to be filed	<input type="checkbox"/> Not required to be filed	

<p><b>3. Do party and candidate expenditure reports record campaign and operational expenses separately?</b></p>	<p><input type="checkbox"/> Yes    <b>N/A</b></p> <p>Explain: _____          _____          _____          _____</p>	<p><input type="checkbox"/> No</p> <p>Explain: _____          _____          _____          _____</p>	
<p><b>4. Are reporting requirements in this country temporary or permanent, i.e. are they only in effect during an election cycle, or year-round before and after an election?</b></p>	<p><input checked="" type="checkbox"/> Temporary during elections</p> <p>Explain: _____          _____          _____          _____</p>	<p><input type="checkbox"/> Permanent</p> <p>Explain: _____          _____          _____          _____</p>	
<p><b>5. What kind of monies can the party or candidate legally receive?</b></p>	<p><input checked="" type="checkbox"/> Private funds</p> <p><input checked="" type="checkbox"/> Foreign company funds</p>	<p><input checked="" type="checkbox"/> Corporate funds</p> <p><input checked="" type="checkbox"/> Foreign national funds</p>	<p><input checked="" type="checkbox"/> Union funds</p> <p><input checked="" type="checkbox"/> Expatriate nationals' funds living overseas</p>
<p><b>6. What sources of illicit funds for parties and candidates are suspected?</b></p>	<p><input type="checkbox"/> Illegal funds given over the limit but not declared by corporations, unions, or persons</p>	<p><input type="checkbox"/> Organized crime</p> <p><input type="checkbox"/> Drug trafficking funds</p> <p><input type="checkbox"/> Laundered funds</p>	<p><input type="checkbox"/> Foreign influence funds</p> <p><input type="checkbox"/> Others</p> <p><input type="checkbox"/> No illegal funds are suspected</p>
<p><b>7. What is the name of the body receiving the financial disclosure reports?</b></p>	<p><input checked="" type="checkbox"/> This is a governmental body created by statute</p>	<p><input type="checkbox"/> This is a private body created and funded by government</p>	<p><input type="checkbox"/> This body is constitutionally created</p>
<p>a. Name:</p>	<p><b>Antigua and Barbuda Electoral Commission</b></p>		
<p>b. Phone No:</p>	<p><b>268 562 4169</b></p>		



<p><b>8. Are there contribution thresholds? (Donations below a certain amount don't have to be reported)</b></p>	<p><input checked="" type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> If yes, what is the amount of the threshold: \$ _____</p>	<p>Explain:</p>	
<p><b>9. When are the disclosure reports due from politicians or parties?</b></p>	<p><input type="checkbox"/> Before the election                  - How many weeks before the election? _____ weeks.                  - How many reports are required? _____ reports.</p>		<p><input checked="" type="checkbox"/> After the election.                  - How many weeks after the election? ___ 6 ___ weeks.</p>
<p><b>10. How are the reports transmitted to the public?</b></p>	<p><input type="checkbox"/> Fax  <input type="checkbox"/> Photocopy  <input type="checkbox"/> Internet</p>	<p><input type="checkbox"/> Hand copying (copying by hand)  <input type="checkbox"/> Gazette or published in local newspapers or bulletins.</p>	<p><input type="checkbox"/> Other. Please specify.  <b>Not specified in the Act</b></p>
<p><b>11. Rate how easy or how difficult it is to access the public reports? (Difficulty of accessibility)</b></p>	<p><input type="checkbox"/> High</p>	<p><input type="checkbox"/> Medium</p>	<p><input type="checkbox"/> Low</p>
<p><b>12. How much time elapses between the receipt and dissemination of the report by the election commission?</b></p>	<p>Fill in the blanks:  <input type="checkbox"/> Weeks: _____  <input type="checkbox"/> Months _____  <input type="checkbox"/> Years _____</p>		<p><input type="checkbox"/> Explain:</p>
<p><b>13. Quality of report criteria:</b></p>			
<p>a. Are reports itemized or aggregate figures reported?</p>	<p><b>Not specified in the Act</b></p>		
<p><input checked="" type="checkbox"/> Cash contributions</p>	<p><input type="checkbox"/> Itemized</p>	<p><input type="checkbox"/> Aggregated</p>	
<p><input checked="" type="checkbox"/> In-Kind Contributions (materials and equipment, including loans)</p>	<p><input type="checkbox"/> Itemized</p>	<p><input type="checkbox"/> Aggregated</p>	
<p><input checked="" type="checkbox"/> Expenditures</p>	<p><input type="checkbox"/> Listed by categories or itemized, e.g. media, etc</p>	<p><input type="checkbox"/> Aggregated or not categorized</p>	

✓ Names of donors	<input type="checkbox"/> Itemized listing names	<input type="checkbox"/> No names of donors listed with contribution amounts	
b. Names of donors	<input type="checkbox"/> Full names of donors required?	<input type="checkbox"/> Full mailing address of donor required?	<input type="checkbox"/> Is donor's name listed on the report by specific amounts of money contributed?
c. Names of vendors	<input type="checkbox"/> Full names of vendors required?	<input type="checkbox"/> Full mailing address of vendor required?	<input type="checkbox"/> Is vendor's name listed on the report by specific amounts of money paid?
d. Categories of expenses	<input type="checkbox"/> Are vendor products or services categorized on the disclosure report? (e.g. media expense, transportation, labor, meals, etc.?)	<input type="checkbox"/> Are vendor purchases listed in aggregate or itemized on expenditure reports?	
<b>14. Quality of enforcement criteria:</b>			
a. What is the name of the body that enforces the disclosure reporting laws?	<input checked="" type="checkbox"/> Same as electoral commission or body that receives the disclosure reports? <input type="checkbox"/> Different body than the one receiving the disclosure reports? Name of body. <input type="checkbox"/> Is the enforcement body a tribunal or special court?	Explain:	
✓ What are the powers of the enforcement body?	<input type="checkbox"/> Passive: - Receive the report with little investigation	<input checked="" type="checkbox"/> Active: <input checked="" type="checkbox"/> Does it audit reports and conducts investigations? <input checked="" type="checkbox"/> Have sanctions and fines offenders? - Criminal penalties also possible?	
✓ Strength and weakness of the enforcement bodies	<input type="checkbox"/> Strengths:	<input type="checkbox"/> Weaknesses:	
✓ What's the reputation of the enforcement body? Check all apply.	<input type="checkbox"/> Strict <input type="checkbox"/> Not Strict <input type="checkbox"/> Politically bold and doesn't play favorites	<input type="checkbox"/> Politically timid and bends with the power <input type="checkbox"/> Respected <input type="checkbox"/> Not too respected	
<b>15. Reality check / actual practices</b>			

<b>criteria:</b>			
a. Looking over the above answers, how would you compare the disclosure laws on the books in this nation with the actual practice of disclosure?	<input type="checkbox"/> Distant relationship between the laws on the books and practice of disclosure	<input type="checkbox"/> Reasonable degree of fit between books and practices.	<input type="checkbox"/> Excellent degree of fit between laws and practices
b. Using the Disclosure Ranking in Column 8 of the Latin America Disclosure Table listed below (produced by USAID for the OAS countries) how do your findings correspond with the table?			

<b>IV. ENFORCEMENT</b>	
<b>A. CONTROLLER AGENCIES</b>	
<b>1. Nature of regulatory agencies:</b>	
a. Electoral management bodies	Antigua and Barbuda Electoral Commission
b. Judges with electoral jurisdiction	High Court
c. Auditing bodies	Not specified
d. Combined	
<b>2. Election / Composition of controller agencies:</b>	
<b>3. Functions / Responsibilities:</b>	
<b>4. Autonomies / Dependencies:</b>	
<b>5. Institutional capacity:</b>	
a. Financial resources	
c. Human resources	
d. Technical capacity	
<b>6. Internal party controlling mechanisms:</b>	

<b>B. SANCTION REGIMENS</b>	
<b>1. Mechanisms:</b>	
a. Permanent and systematic oversight	None
b. Complaint-based system	
c. Random auditing	
d. Alternative mechanisms (compliance agreements, etc.)	Accounts must be audited within 6 months after an election
e. Combined	
<b>2. Sanction regimens:</b>	
a. Financial penalties:	
✓ Political parties	E.C. \$2,000 per day
✓ Candidates	
✓ Donors	
b. Legal sanctions:	
✓ Political parties	
✓ Candidates	
✓ Donors	
c. Administrative sanctions:	
d. Other sanctions:	
<b>3. Provide examples of sanctions already applied:</b>	
<b>C. CULTURE OF COMPLIANCE AND PUBLIC OVERSIGHT</b>	
<b>a. Incentives for voluntary compliance:</b>	
✓ Training of campaign workers	N/A
✓ Technical assistance	N/A
✓ Provision of material support	N/A
✓ Subsidies for auditing services	N/A
✓ Public education	N/A
<b>b. Public oversight of resources:</b>	

✓ Names of participating civil society organizations	None
✓ Initiatives of citizens	None
✓ Other	None
<b>c. Cases of corruption? If yes, provide examples:</b>	

<b>V. INFORMATION ABOUT PARLIAMENTS</b>	
1. Total cost to Parliament (Figures from general national budget and Parliament budget)	Cost of Electoral Commission – 2002. E.C. \$4,500,000
2. Who is the parliamentary budget administrator?	
3. Cost of each Member of Parliament.	See Electoral Commission Appropriation (2002) Act 2002 – attached and marked “A”.
a. Gross cost (divide total cost by number of Members of Parliament)	
b. Net Cost (salaries of Members of Parliament)	
4. Parliamentary services (costs of support services, advisors, secretaries, etc.)	
5. Allocation of resources: caucus vs. individual.	
6. Access to other resources for parliamentary needs (special funds, resources from political party budgets, etc.)	

**VI. LIST OF REGULATIONS ON FINANCING**

**See legislation referred to at 2 of the Bibliography attached.**

**VII. BIBLIOGRAPHY LIST RELATED TO POLITICAL FINANCING**

**See attached Bibliography marked “B”.**

**VIII. OTHER ANNEXES**